# EXPRESS MAIL RECEIPT NO. EU832535715US DEPOSITED ON SEPTEMBER 5, 2003



### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Mail Stop Patent Application Commissioner for Patents P.O. Box 1450 Alexandria VA 22313-1450

### **NEW APPLICATION TRANSMITTAL**

Transmitted herewith for filing is the patent application of

Inventors: William J. Legg, Wade B. Huckabay and Randall K. McCarthy

For (title): HEADWEAR WITH ARTICLE SECURING APERTURE

### 1. Type of Application

This application is for an original (nonprovisional).

## 2. Papers Enclosed

- A. Required for filing date under 37 C.F.R. § 1.53(b) (Regular) or 37 C.F.R. § 1.153 (Design) Application
  - 8 Pages of Specification
  - 5 Pages of Claims
  - 3 Sheets of Drawings--Informal
- **B.** Other Papers Enclosed
  - 3 Pages of Declaration--unsigned
  - 1 Page of Abstract
  - 3 Pages of Application Data Sheet

### 3. Additional Papers Enclosed

Information Disclosure Statement (37 C.F.R. § 1.98), including PTO/SB/08A and 08B (Substitute for Form PTO-1449)

An acknowledgment postcard

### 4. Declaration or Oath

**Enclosed and Unsigned** 

### 5. Inventorship Statement

The inventorship for all the claims in this application is the same.

#### 6. Language

English

#### 7. Assignment

An assignment of the invention to JRW Group, Inc. will follow.

#### 8. Fee Calculation (37 C.F.R. § 1.16)

Regular Application

				CLA	IMS A	AS FILE	D						
	Number Filed			Number Extra				Rate			Basic Fee 37 C.F.R. § 1.16(a) 750.00		
Total Claims (37 C.F.R. § 1.16(c))		21	_	20	_	1	x	\$	18.00	=	\$	18.00	
Independent Claims (37 C.F.R § 1.16(b))		3	_	3		0	x	\$	84.00	=	\$	0.00	
Multiple Dependent Claim(s), if any (37 C.F.R. § 1.16(d)) + \$ 280.00								=	\$	0.00			
Filing	Fee Calcu	latior	1								\$768.0	0	

### 9. **Assertion of Small Entity Status**

Applicant hereby asserts status as a small entity under 37 C.F.R. § 1.27.

Filing Fee Calculation (50% of above Filing Fee Calculation)

\$384.00

### Fee Payment Being Made at This Time 10.

Enclosed

Filing Fee

\$384.00

**Total Fees** 

\$384.00

#### 11. **Method of Payment of Fees**

Attached is a check in the amount of \$375.00. Please charge the additional amount of \$9.00 to our Patent Office Deposit Account, 06-0540.

Charge any additional fees required by this paper or credit any overpayment in the manner authorized above. A duplicate of this paper is attached.

### 12. Authorization to Charge Additional Fees

The Office is hereby authorized to charge, in the manner shown above, the following additional fees that may be required by this paper and during the entire pendency of this application.

37 C.F.R. § 1.16(a), (f) or (g) (filing fees)

37 C.F.R. § 1.16(b), (c) or (d) (presentation of extra claims)

37 C.F.R. § 1.16(e) (surcharge for filing the basic filing fee and/or declaration on a date later than the filing date of the application)

37 C.F.R. § 1.17(a)(1)-(5) (extension fees pursuant to § 1.136(a))

### 13. Instructions as to Overpayment

Credit Account No. 06-0540.

Date: 9/8/03

Randall K. McCarthy, Registration No. 39,297

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